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LIST OF PRIOR ART CITED BY APPLICANT  
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APPLICANT(S):

NEUNEIER, R., et al.

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GAU:  
(Not Yet assigned) 2121

### US PATENT DOCUMENTS

Exmr's Intls		Document No.	Date	Name	Class	Subclass	Filing Date
<i>MBAT</i>	AA	5,608,843	MAR. 4, 1997	BAIRD, III	706	25	
	AB						

### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation	
							Yes	No
	AJ							
	AK							

### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>MBAT</i>	AQ	NEUNEIER, R., et al.; "Enhancing Q-Learning for Optimal Asset Allocation"; Advances in Neural Information Processing Systems 10-Proceedings of the 1997 conference; 1997; pp. 936 - 942.
<i>MBAT</i>	AR	GUTJAHR, W.J.; "Failure Risk Estimation via Markov Software Usage Models"; Proceedings of the 15 <sup>th</sup> International Conference on Computer Safety, Reliability and Security, SAFECOMP; 1996; 23 - 25 OCT 1996; pp. 183 - 192.
<i>MBAT</i>	AS	AOKI, Y.; "Evaluation and Optimization of Environmental Planning Under the Risk-Aversion of Non-Repairable Damage"; Environmental Systems Planning Conference; 1 - 5 AUG 1977; pp. 847 - 852.
<i>MBAT</i>	AT	SUTTON, R.S.; "Learning to Predict by the Methods of Temporal Differences"; Machine Learning 3; 1998; pp. 9 - 43.
<i>MBAT</i>	AU	HEGER, M.; "Risk and Reinforcement Learning: Concepts and Dynamic Programming"; Zentrum für Kognitionswissenschaften, Universität of Bremen; 1995.
<i>MBAT</i>	AV	BERTSEKAS, D.P.; Dynamic Programming and Optimal Control; Chapter 5; 1995.
	AW	
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Examiner

Date Considered

11/05/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.